

**PATENT** P5599 US 0045.0069

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: N.D. Talagala et al.		Examiner: Albert Decady		
Serial No.: Filed: For:	09/846,374 April 30, 2001 DATA INTEGRITY ERROR HANDLING IN A REDUNDANT STORAGE ARRAY	Art Unit: 2133	RECEIVED  OCT 2 3 2003  Technology Center 2100	

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

#### Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

#### A. Non-English Language References

Enclosed is a search report for a counterpart application. The search report
Examiner has provided comments on the relevancy of any non-English language
references cited in the search report.
The specification incorporates comments on the relevancy of Non-English
language references.
Set forth below are comments provided by the applicant's home country counsel
on the relevancy of non-English language references:

B.	<b>1</b> )	The information disclosure statement submitted herewith is being filed within three months of the filing date of a national application other than a
		continued prosecution application under 1.53(d);
	2)	within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
	3)	before the mailing date of a first Office Action on the merits; or
	4)	before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
C.		The information disclosure statement transmitted herewith is being filed after the
		period specified in paragraph B, but before the mailing date of a final action unde
		1.113, a Notice of Allowance under 1.311, or an action otherwise closing
		prosecution, and is accompanied by:
	1)	a Statement under 1.97(e); <u>or</u>
	2)	a fee of \$180 as set forth in 1.17(p).
D.		The information disclosure statement transmitted herewith is being filed <i>after</i> the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
	·1)	a Statement under 1.97(e); <u>and</u>
	2)	a fee of \$180 as set forth in 1.17(p).
		STATEMENT UNDER 1.97(e)
	I, the	person signing below, certify
		that each item of information contained in the information disclosure statement
		was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement 37 C.F.R. §1.97(e)(1).
		that no item of information contained in the information disclosure statement was

cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Dated: October 17, 2003

Respectfully submitted,

David W. Victor
Registration No. 39,867

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on October 17, 2003.

David W. Victor

10/17/03

(Date)

Complete if Known Form 1449/PTO 09/846,374 **Application Number** INFORMATION DISCLOSURE Filing Date April 30, 2001 First Named Inventor N.D. Talagala et al. STATEMENT BY APPLICANT Art Unit 2133 (use as many sheets as necessary) **Examiner Name** Albert Decady 1 of P5599 US Page Attorney Docket Number 2

			U.S. PATENT DO	OCUMENTS	
Examiner	Cite	Cite Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials	No.	Number- Kind Code (if known)			
		US-5,588,012	12-24-1996	Oizumi	
		US-6,023,780	02-08-2000	Iwatani	
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials	No.	No. Country Code- Number- Kind Code				
		EP 0 466 296 A2	03-11-1991	Hartness		Г
		EP 0547 844 A2	12-10-1992	Konno et al.		
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Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known			
Application Number	09/846,374		
Filing Date	April 30, 2001		
First Named Inventor	N.D. Talagala et al.		
Art Unit	2133		
Examiner Name	Albert Decady		
Attorney Docket Number	P5599 US		

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	(hook magazine journal serial symnosium catalog etc.) date nage(s) volume issue number(s) publisher city				
		International Application No. PCT/US02/13553, International Search Report, date of mailing September 9, 2003.			
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		Technology Center 210	0		

Examiner Signature		Date Considered			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation i in conformance and not considered. Include copy of this form with next communication to the applicant.